

# EXHIBIT B

FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)				ATTY DOCKET NO. <b>000790/0002</b>		APPLICATION NO. <b>09/786,208</b>	
				APPLICANT <b>Keiichi Nakajima et al.</b>			
				FILING DATE <b>May 8, 2001</b>		GROUP <b>3621</b>	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
P.R.		5,883,810	3-16-1999	Franklin et al.			
		5,899,980	5-4-1999	Wilf et al.			
		5,924,078	7-13-1999	Naftzger			
		5,988,497	11-23-1999	Wallace			
		6,038,549	3-14-2000	Davis et al.			
		6,092,202	7-18-2000	Veil et al.			
		6,332,133	12-18-2001	Takayama			9-8-1998 (102(e) date)
		6,970,852	11-29-2005	Sendo et al.			4-28-2000

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)		
		“Geoworks First to Deliver Shopping Discounts Direct to Mobile Phones and Pagers”, PR Newswire, June 22, 1999
P.R.		O'Mahony, D., et al. “Electronic Payment Systems”, Artech House, pp. 62-63 (1997)

EXAMINER <i>[Signature]</i>	DATE CONSIDERED 10/31/07
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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## Geoworks First to Deliver Shopping Discounts Direct to Mobile Phones and Pagers

PR Newswire. New York: Jun 22, 1999. pg. 1

### Abstract (Summary)

ALAMEDA, Calif., June 22 /PRNewswire/ -- [Geoworks Corporation](#) (Nasdaq: GWRX), a leading provider of mobile e-commerce and information services for the consumer market, today unveiled Discopro.com, the first mobile information service that features discounts and promotions delivered to digital cell phone and alphanumeric pager users. Totally free for subscribers, Discopro.com sends notifications to subscribers when and where they want about great deals on items they regularly purchase from their favorite retailers.

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The sign up process for Discopro.com is easy. Consumers go to <http://www.discopro.com>, where they create their profile and specify how, where and when they want to receive their discount messages. Subscribers can choose to receive their promotions from the following categories: CDs and Gifts, Movies and Concerts, Videos/Electronics/Computers, Food, Outdoor Recreation/Sports, Automotive/Travel, and Clothes/Home. Once consumers have selected their discount and promotion categories and determined when they want to receive them, they simply provide their cell phone or pager number. To redeem a Discopro.com discount or promotion, customers reference a promotional code while purchasing the item online, via the phone or in person, just as they would with a paper coupon.

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Full Text (740 words)

Copyright © PR Newswire - NY Jun 22, 1999

Consumers Save Money on the Products They Regularly Purchase with Free

Discopro.com Service

ALAMEDA, Calif., June 22 /PRNewswire/ -- [Geoworks Corporation](#) (Nasdaq: GWRX), a leading provider of mobile e-commerce and information services for the consumer market, today unveiled Discopro.com, the first mobile information service that features discounts and promotions delivered to digital cell phone and alphanumeric pager users. Totally free for subscribers, Discopro.com

sends notifications to subscribers when and where they want about great deals on items they regularly purchase from their favorite retailers.

Discopro.com today launches nationwide with promotions from a wide selection of top-grade retail merchants including Virgin Megastores, T.G.I. Fridays, Noah's Bagels, Einstein Bros. Bagels, Egghead.com, Roundtable Pizza, CD World, Hollywood Video, Seattle's Best Coffee, Bedandbath.com, J. Crew, Pro Flowers, Webtickets, Shopwireless, Opals International, Omaha Steaks, Hunter Bay Coffee, Sportstore.com, Magmall, Magellan GPS and Hotel Discount.

The sign up process for Discopro.com is easy. Consumers go to <http://www.discopro.com>, where they create their profile and specify how, where and when they want to receive their discount messages. Subscribers can choose to receive their promotions from the following categories: CDs and Gifts, Movies and Concerts, Videos/Electronics/ Computers, Food, Outdoor Recreation/Sports, Automotive/Travel, and Clothes/Home. Once consumers have selected their discount and promotion categories and determined when they want to receive them, they simply provide their cell phone or pager number. To redeem a Discopro.com discount or promotion, customers reference a promotional code while purchasing the item online, via the phone or in person, just as they would with a paper coupon.

"Discopro.com provides convenience, savings and even free merchandise to subscribers for the products they want," said Rhonda Jobe, vice president of marketing of Geoworks. "Even more exciting from the advertiser's perspective, we've taken the spamming element out of direct-to-consumer promotions, and delivered a highly personalized medium where companies can develop one-to-one relationships with their potential customers."

#### A Retailer for Every Customer

Discopro.com's advertisers represent a broad range of retail categories so that the service provides value for a wide variety of customers. One of the key benefits to retailers is the ability to provide customized discounts and promotions for specific times, geographic regions and demographic profiles.

"Discopro.com provides us with a new avenue to reach customers via their wireless phones and pagers," said Jon Brodeur, Chief Marketing Officer for Egghead.com, one of the first Internet retailers to take advantage of Discopro.com.

Geoworks will continue to add additional retailers and promotional categories on an ongoing basis.


#### Start Saving Money -- On the Go




When subscribers sign up for the service, they choose only those retail categories that interest them the most. This ensures that subscribers will receive discounts and promotions that they will want to redeem. Best of all, because these promotions are issued wirelessly, there are no coupons to clip.

The only requirements for consumers to access Discopro.com are Web access and a digital cell phone (enabled with text messaging) or an alphanumeric pager. If subscribers decide to change their selections of retail categories or the times they want to receive messages, they can easily visit the Web site to update their profiles.

Soon after creating their personalized profile, consumers will begin to receive their customized discounts and promotions as short text messages on their mobile devices. All messages are also saved on the Discopro.com Web site, so subscribers can refer to them at a later time.

#### About Geoworks

 Geoworks Corporation is a leading provider of mobile e-commerce and information services for the consumer market. The company offers Internet-based information services, including advertising-sponsored content, to the growing universe of digital mobile phones and pagers. Based in Alameda, California, the company has international offices in Japan and the United Kingdom, and can be found on the World Wide Web at <http://www.geoworks.com>.

In keeping with U.S. law,  Geoworks notes that this press release includes forward-looking statements, including the emergence of, and  Geoworks' participation in, the mobile services market. Actual results may vary significantly due to various risks and uncertainties. Those include, but are not limited to, the following: i) the mobile services market may not emerge to the degree or timing anticipated; and ii) new technologies and new services are inherently subject to development, timing and consumer acceptance risks. Additional information is available in the Risk Factors and Business discussions in the Company's Forms 10-K, 10-Q and other filings available from the Company or from the Securities and Exchange Commission.  
SOURCE  Geoworks Corporation

#### [Reference]

Industry: INTERNET MULTIMEDIA ONLINE; TELECOMMUNICATIONS; ADVERTISING

#### Indexing (document details)

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**Publication title:** *PR Newswire. New York: Jun 22, 1999. pg. 1*  
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**eFiled Application Information**

EFS ID	2407040
Application Number	09786208
Confirmation Number	6261
Title	Electronic settlement system, settlement device, and terminal
First Named Inventor	Keiichi Nakajima
Customer Number or Correspondence Address	26610
Filed By	David Lewis Schaeffer
Attorney Docket Number	000790/0002
Filing Date	08-MAY-2001
Receipt Date	31-OCT-2007
Application Type	U.S. National Stage under 35 USC 371

**Application Details**

Submitted Files	Page Count	Document Description	File Size	Warnings
PetitionForExtensionOfTime.pdf	1	Extension of Time	342030 bytes	◆ PASS
RequestForContinuedExamination.pdf	1	Request for Continued Examination (RCE)	533807 bytes	△ WARNINGS
This is not a USPTO supplied RCE SB30 form.				
AmendmentAccompanyingRCE.pdf	29		153158 bytes	◆ PASS
		<b>Document Description</b>	<b>Page Start</b>	<b>Page End</b>
		Amendment Submitted/Entered with Filing of CPA/RCE	1	1
		Claims	2	19
		Applicant Arguments/Remarks Made in an Amendment	20	29
InformationDisclosureStatement.pdf	4		308856 bytes	◆ PASS
		<b>Document Description</b>	<b>Page Start</b>	<b>Page End</b>
		Information Disclosure Statement Letter	1	3
		Information Disclosure Statement (IDS) Filed	4	4
GeoworksArticle.pdf	4	NPL Documents	187280 bytes	◆ PASS

ElectronicPaymentSystemsOMahony.pdf	3	NPL Documents	179449 bytes	◆ PASS
fee-info.pdf	2	Fee Worksheet (PTO-06)	8328 bytes	◆ PASS

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### *If you need help:*

- *Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-mail [EBC@uspto.gov](mailto:EBC@uspto.gov) for specific questions about Patent e-Filing.*
- *Send general questions about USPTO programs to the [USPTO Contact Center \(UCC\)](#).*
- *If you experience technical difficulties or problems with this application, please report them via e-mail to [Electronic Business Support](#) or call 1 800-786-9199.*



Docket No.  
**000790/0002**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: **Keiichi Nakajima**

Group Art Unit: **3621**

Application No.: **09/786,208**

Examiner: **Pierre E. Elisca**

Filed: **May 8, 2001**

For: **ELECTRONIC SETTLEMENT SYSTEM, SETTLEMENT  
APPARATUS, AND TERMINAL**

Date: **October 31, 2007**

**INFORMATION DISCLOSURE STATEMENT**

**Mail Stop RCE**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Information Disclosure Statement by Applicant form (PTO/SB/08A and/or B, PTO-1449, or equivalent).

The following checked sections apply to this Information Disclosure Statement:

- ☒ In accordance with 37 C.F.R. § 1.98(a)(2)(ii) as revised at 69 Fed. Reg. 56481 (September 21, 2004), copies of the cited U.S. patent application publication(s) and/or U.S. patent(s) have not been submitted.
- ☐ The cited reference(s) were called to Applicant(s) attention in a counterpart foreign patent application. A copy of the official communication in that counterpart foreign patent application citing the reference(s) is submitted herewith, and the Examiner is respectfully directed thereto for a concise explanation of the relevance of the cited art.
- ☐ At least some of the cited references are not (or the cited reference is not) in English, and so English abstracts of those references (that reference) are provided, if available.
- ☐ At least some of the cited references are not (or the cited reference is not) in English, and so machine English translations of those references (that reference) are provided, where available.
- ☒ This Information Disclosure Statement is being filed (1) within three months of the filing date of a national application other than a continued prosecution application under Sec. 1.53(d), (2) within three months of the date of entry of the national stage as set forth in Sec. 1.491 in an international application, (3) before the mailing of a first Office action on the merits, or (4) before the mailing of a first Office action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114. Accordingly, neither a fee nor Statement under 37 C.F.R. § 1.97(e) is due.

- ☐ This Information Disclosure Statement is being filed before the mailing date of any of a final action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution in the application, and so is accompanied by one of:

(1) A Statement under 37 C.F.R. § 1.97(e), which follows; or

(2) The fee set forth in 37 C.F.R. § 1.17(p), authorization for which follows.

- ☐ This Information Disclosure Statement is being filed either (1) on or after the mailing date of a final action under 37 C.F.R. § 1.113, or (2) on or before payment of the issue fee, and is accompanied by:

(1) A Statement under 37 C.F.R. § 1.97(e), which follows; and

(2) The fee set forth in 37 C.F.R. § 1.17(p), authorization for which follows.

- ☐ Fee Under 37 C.F.R. § 1.17(p)

The Commissioner is authorized to charge the fee under 37 C.F.R. § 1.17(p) (\$180) to Deposit Account No. 19-4709.

- ☐ Statement Under 37 C.F.R. § 1.97(e)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement; or

No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in Sec. 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

- ☒ Additional Comments

Applicant(s) have the following additional comments:

**The cited references came to applicant's attention in other commonly-assigned U.S. patent applications.**

It is respectfully requested that the above information be considered by the Examiner and that an initialed copy of the accompanying Information Disclosure Statement by Applicant form (PTO/SB/08A and/or B, PTO-1449, or equivalent) be returned to the undersigned indicating that such information has been considered.

Other than any fees mentioned above, no fees are believed to be due in connection with this Information Disclosure Statement. Nevertheless, the Commissioner is authorized to charge any fees which are deemed to be now or hereafter due in connection with this paper to Deposit Account No. 19-4709.

Favorable consideration and prompt allowance of this application is respectfully requested. In the event that there are any questions, or should additional information be required, please contact Applicant'(s) attorney at the number listed below.

Respectfully submitted,

*/David L. Schaeffer/*

**David L. Schaeffer**  
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(212) 806-5400

FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)				ATTY DOCKET NO. <b>000790/0002</b>		APPLICATION NO. <b>09/786,208</b>	
				APPLICANT <b>Keiichi Nakajima et al.</b>			
				FILING DATE <b>May 8, 2001</b>		GROUP <b>3621</b>	

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		<b>5,924,078</b>	<b>7-13-1999</b>	<b>Naftzger</b>			
		<b>5,988,497</b>	<b>11-23-1999</b>	<b>Wallace</b>			
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		<b>6,092,202</b>	<b>7-18-2000</b>	<b>Veil et al.</b>			
		<b>6,332,133</b>	<b>12-18-2001</b>	<b>Takayama</b>			<b>9-8-1998 (102(e) date)</b>
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		<b>O'Mahony, D., et al. "Electronic Payment Systems", Artech House, pp. 62-63 (1997)</b>

EXAMINER	DATE CONSIDERED
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